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	Application Number	10/821,296
	Filing Date	April 8, 2004
TRANSMITTAL FORM	First Named Inventor	David L. Shannon
(to be used for all correspondence during pendenc filed application)	Group Art Unit Number	2636
	Examiner Name	Julie Bichngoc Lieu
Total Number of Pages in This Submission 7	Attorney Docket Number	21790-07821
ENCLOSUF	RES (check all that appl	(y)
Fee Transmittal Form (in duplicate)	Issue Fee Trans	
Check Enclosed	Letter to Chief D	raftsperson
Return Receipt Postcard	Formal Drawing	(s):
Response to Notice to File Missing Parts	· [] Sheet(s) of Figure(s) []
Assignment & Recordation Cover Sheet		nication to Board of Appeals and
Declaration	Interferences	
Power of Attorney		nication to Group Brief, Reply Brief)
Application Data Sheet	I — ``''	, , ,
Supplemental Information Disclosure Stateme PTO/SB/08A	ent & Certified Copy o	f Priority Document(s)
Copies of IDS Cited References	After Allowance	Communication to Group
Request for Corrected Filing Receipt		
Request for Correction of Recorded Assignme	ent	
Amendment/Response: [] Page(s)	···	
After Final	<u> </u>	
Status Request	<u> </u>	
Revocation and Substitute Power of Attorney		
REMARKS:		
SIGNATURE	OF ATTORNEY OR AGE	NT
Signature:		1 1
Attorney/Reg. No.: Jennifer R. Bush, Reg. No.	50,784	Dated: 0 28 05
CERT	IFICATE OF MAILING	
I hereby certify that this correspondence, including the enclor first class mail in an envelope addressed to: Commissioner If the Express Mail Mailing Number is filled in below, then the "Express Mail Post Office to Addressee" service pursuant to	for Patents, P.O. Box 1450, Alexandria is correspondence is being deposited v	, VA 22313-1450 on the date shown below.
Signature:		1 ,
Typed or Printed Name: Jennifer R. Bush		Dated: 10 28/95

Express Mail Mailing Number (optional):



FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 180.00

Complete if Known					
Application Number	10/821,296				
Filing Date	April 8, 2004				
First Named Inventor	David L. Shannon				
Examiner Name	Julie Bichngoc Lieu				
Art Unit	2636				
Attorney Docket No.	21790-07821				

METHOD OF PAYMENT (check all that apply)				F	FEE CALCULATION (continued)	
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None ☐ Deposit Account:	3. AD	DITION	AL FEE	S		
Deposit Account Number 19-2555	<u>Large</u> l	Entity	Small	Entity	Fee Description	Fee Paid
Deposit Account Name Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
The Commissioner is authorized to: (check all that apply)	1051	130	2051	65	Surcharge - late filing fee or oath or declaration	
☐ Charge fee(s) indicated below ☒ Credit any overpayments ☐ Charge all required fee(s) or any underpayment of fee(s) due	1052 1053	50 130	2052 1053	25 130	Surcharge - late provisional filing fee or cover sheet	
under 37 CFR §1.16 or §1.17 during the pendency of this			•		Non-English specification	
application	1812	2,520	1812	•	For filing a request for <i>ex parte</i> reexamination	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1804 1805	920* 1.840*	1804 1805	920* 1.840*	Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	120	2251	60	Extension for reply within first month	
1. BASIC FILING FEE	1252	450	2252	225	Extension for reply within second month	
Large Entity Small Entity	1253	1020	2253	510	Extension for reply within third month	
Fee Fee Fee Fee Fee Description Fee Paid	1254	1,590	2254	795	Extension for reply within fourth month	
Code (\$)	1255	2,160	2255	1,080	Extension for reply within fifth month	
	1401	500	2401	250	Notice of Appeal	
	1402 1403	500 1000	2402 2403	250 500	Filing a brief in support of an appeal Request for oral hearing	
	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	1452	500	2452	250	Petition to revive - unavoidable	
· SUBTOTAL (1) (\$) 0	1453	1,500	2453	750	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,400	2501	700	Utility issue fee (or reissue)	
Extra Claims Fee from Fee Paid	1502	800	2502	400	Design issue fee	
Total Claims x =	1503	1100	2503	550	Plant issue fee	
Independent Claims X = X	1460		1460	_	Petitions to the Director	
Multiple Dependent	1807	50	1807	50	Processing fee for Provisional Applications	
Large Entity Small Entity Fee	1806	180	1806	180	Submission of Information Disclosure Strnt	180
Code (\$) Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 50 2202 25 Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 200 2201 100 Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1203 360 2203 180 Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 200 2204 100 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 50 2205 25 **Reissue claims in excess of 20 and over original patent	Other fo	ee (specify	/)			
SUBTOTAL (2) (\$) 0					SUBTOTAL (3) (\$) 180	
or number previously paid, if greater, For Reissues, see above	•Reduce	d by Basic	Filing F	ee Paid	(7) (47)	

SUBMITTED BY					Complete (if app	licable)	
Name (Print/Type)	Jennifer R. Bush	Registration No. (Attorney/Agent)	50,784		Telephone (65	50) 335-7213	
Signature	Carlel			Date	10/28	15	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PPLICANT:

David L. Shannon, Gregory Y.H. Lee and Christopher A.

Stephenson

LICATION NO.:

10/821,296

ING DATE:

April 8, 2004

TITLE:

Continuous Security State Tracking for Intermodal Containers

Transported Through a Global Supply Chain

EXAMINER:

Julie Bichngoc Lieu

GROUP ART UNIT:

2636

ATTY. DKT. NO.:

21790-07821

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

Jennifer R. Bush. Reg. No.: 50.74

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

	within three months of the filing date of the application, or date of entry into
	the national stage of an international application, or before the mailing date of
	a first office action on the merits, whichever event last occurred;
П	before the mailing of a first official action after the filing of a request for

continued examination (RCE) under 37 CFR § 1.114;

X			onths of the filing date of this national application or the date of
	-		national stage in an international application, or after the mailing
			rst official action on the merits, whichever event last occurred, but
			ailing date of the first to occur of either: (1) a final action under
			13; or (2) an action that otherwise closes prosecution in the
	applic	ation, a	and:
	\boxtimes	attac	hed hereto is the fee set forth under 37 CFR §1.17(p) for
•		subn	nission of this Information Disclosure Statement under 37 CFR.§
		1.97	(c); OR
		App]	licant certifies pursuant to 37 CFR § 1.97(e) that:
			each item of information contained in this Information
			Disclosure Statement was first cited in a communication from
			a foreign patent office in a counterpart foreign application not
			more than three months prior to the filing of this Statement;
			OR
			no item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application and,
			to the knowledge of the person signing this certification after
			making reasonable inquiry, no item of information contained
			in this Statement was known to any individual designated
			under 37 CFR § 1.56(c) more than three months prior to the
			filing of this Statement;
	on or	before	the payment of the issue fee but after the mailing date of the first
	to oc	cur of e	either: (1) a final action under 37 CFR § 1.113; (2) a notice of
	allow	ance u	nder 37 CFR § 1.311; or (3) an action that otherwise closes
	prose	cution	in the application, and:
		Appl	icant certifies pursuant to 37 CFR. § 1.97(e) that:
			each item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application not
			more than three months prior to the filing of this Statement;
			no item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application and,
			to the knowledge of the person signing this certification after
			making reasonable inquiry, no item of information contained

		111 t	ins Statement was known to any murvidual designated
		unc	ler 37 CFR § 1.56(c) more than three months prior to the
		filiı	ng of this Statement; AND
		attached he	ereto is the fee set forth under 37 CFR §1.17(p) for
		submission	of this Information Disclosure Statement under 37 CFR.
		1.97(d); Ol	R
	Па	• • •	of the issue fee. Applicant requests that the information
		- •	nformation Disclosure Statement be placed in the file
			FR § 1.97(i), although the information may not be
		onsidered by the	•
		•	listed reference that may be material to the examination of
_			which there may be a duty to disclose.
			der 35 U.S.C. § 120, on the earlier filing date of prior
			filed on, and the references cited therein are hereby
			uired to be provided in this application under 37 CFR §
	1.98(d).	od, but are not req	and to be provided in this application under 57 CTR §
\boxtimes	` ,	lication was filed	after June 30, 2003. Therefore, pursuant to the waiver of
Z.SI	• •	•	CFR 1.98(a)(2)(i), copies of each U.S. Patent and each
	-		ublication are not required to be submitted. Copies of any
			and non-patent literature cited herein are enclosed.
			contained in this Information Disclosure Statement was
	•		from a foreign patent office in a counterpart application,
			as not received by any individual designated in 37 CFR §
			as not received by any individual designated in 57 CFR g ays prior to the filing of this Information Disclosure
		nt. 37 CFR § 1.70	
П		· ·	fee is required for the consideration of this Information
ш		re Statement.	ree is required for the consideration of this information
Consi			nces and favorable action are solicited.
COHSI	deration o	tile listed felerei	Respectfully submitted,
		•	DAVID L. SHANNON ET AL.
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1	Jal Soca	70 2005	
	Ctober	2005	By:
			Jennifer R. Bush, Reg. No.: 50,784 Fenwick & West LLP
			Silicon Valley Center
			801 California Street
			Mountain View, CA 94041
			Tel.: (650) 335-7213 Fax: (650) 938-5200
			H98 · (6)(1) 44X-7700

Dated:

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	Substitute for	form 1449A/F	то		Complete if Known	
INE	OBMATIOI	או חופר	LOCUEE	Application No.	10/821,296	
(a)	ATEMENT	DV VDI	DISONE	Filing Date	April 8, 2004	
(917	AIEIMÉINI	DI API	LICANI	First Named Inventor	David L. Shannon	
1	OCT 8 1 5002 (m)		Art Unit	2636		
1,000	(3, 100			Examiner Name	Julie Bichngoc Lieu	
Steet	35	of	1	Attorney Docket Number	21790-07821	
- TOO	BAREMAN					

U.S. PATENT DOCUMENTS						
		Document No.				
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
	A1	US-6,714,121	03-30-2004	Moore		
	A2	US-6,211,781	04-03-2001	McDonald		
	А3	US-5,565,858	10-15-1996	Guthrie		
	1	US-				
		US-				
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•	FOREIGN PATENT DOCUMENTS							
		Foreign Patent Document						
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶			
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	<u> </u>							
				WITT - A.P				

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.